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IBM/161

Confirmation No. 9188

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**  
MAR 2 2001

Applicant: Randall R. Schnier

Art Unit: 2152

Serial No.: 08/818,185

Examiner: Thong Vu

Technology Center 2100

Filed: March 14, 1997

Atty. Docket No.: IBM/161 (RO996-140)

Title: A BOOTSTRAPPING TECHNIQUE FOR DISTRIBUTED OBJECT CLIENT SYSTEMS

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EL610089281US

Date of Deposit: February 26, 2001

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Ryan Cummins*  
By: Ryan Cummins (person mailing paper)

**CONTINUED PROSECUTION APPLICATION (CPA)**  
**REQUEST TRANSMITTAL**

**BOX CPA**

*Assistant Commissioner for Patents*  
*Washington, D.C. 20231*

Sir:

This is a request for a ☒ **continuation** ☐ divisional application under 37 CFR § 1.53(d), (continued prosecution application (CPA)) of prior application Serial No. 08/818,185, filed on March 14, 1997, and entitled A BOOTSTRAPPING TECHNIQUE FOR DISTRIBUTED OBJECT CLIENT SYSTEMS.

**Small Entity Status:**

- ☐ A Small Entity Statement was filed in the prior nonprovisional application and such status is still proper and desired.
- ☐ A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27 is enclosed as noted below.
- ☒ **Small Entity Status is not/is no longer claimed.**

02/26/2001 CCHAU1 00000075 08818185

01 FC:131	710.00 OP
02 FC:103	234.00 OP
03 FC:102	240.00 OP

IBM / 161  
RO996-140  
Express Mail No. EL610089281US  
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Repln. Ref: 02/26/2001 CCHAU1 0010461200  
DAH:233000 Name/Number:08818185 \$50.00 CR  
FC: 704

**Assignment:**

- ☒ This application is assigned to INTERNATIONAL BUSINESS MACHINES CORPORATION by virtue of an earlier assignment filed in the prior application at Reel 8651, Frame 0092-0093.
- ☐ An assignment to \_\_\_ is enclosed as noted below.
- ☐ This application has not been assigned.

**Entry of Amendments:**

- ☐ Please enter the unentered Amendment After Final under 37 CFR § 1.116 previously filed in the prior nonprovisional application, prior to calculating fees.
- ☐ Please enter the enclosed Preliminary Amendment prior to calculating fees.

**Petition for Extension of Time:**

- ☐ Please consider this a petition to extend the time for responding to the Office Action in the prior nonprovisional application \_\_\_ month(s), whereby the date of response is extended to \_\_\_.
- ☐ A petition for an extension of time for responding to the Office Action in the prior nonprovisional application \_\_\_ month(s) is enclosed herewith as a separate paper, whereby the date of response is extended to \_\_\_.

**Deletion of Inventors Named in Parent Application:**

- ☐ This application is filed by fewer than all of the inventors named in the prior nonprovisional application under 37 CFR § 1.53(d)(4). Please delete the following inventor(s) named in the prior nonprovisional application: \_\_\_.

<b>CALCULATION OF FEES</b>
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- ☒ The fees (including fees for claims added by amendment) are calculated as follows:

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	33	20	13	\$18	\$234.00
Independent Claims	6	3	3	\$80	\$240.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$760.00
TOTAL OF ABOVE CALCULATIONS					\$1,234.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,234.00

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ENCLOSURES

- Technology Center 2100
- ☒ Continued Prosecution Application (CPA) Request Transmittal containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
  - ☒ Return Postcard.
    - ☐ Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 1.27.
    - ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595).
    - ☐ Preliminary Amendment (to be entered prior to calculation of fees).
    - ☐ Petition for Extension of Time.
    - ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s).
  - ☒ Other: Communication.
  - ☒ A Check of \$1,234.00 for the filing fee.
    - ☐ A Check of \_\_ for the assignment recording fee.
    - ☐ A Check of \_\_ for the extension of time fee.


DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of \_\_.
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached. Please also consider this a Petition for Extension of Time for a sufficient number of months to enter these papers, if appropriate.

WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
Cincinnati, Ohio 45202  
(513) 241-2324

SAS/jlv

Respectfully Submitted,

  
Scott A. Stinebruner  
Reg. No. 38,323